



Our Docket No.: 004239.P003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ALAIN T. RAPPAPORT

Serial No.: 09/754,547

Filed: January 3, 2001

For: **METHOD, APPARATUS AND  
SYSTEM FOR PROVIDING  
TARGETED INFORMATION IN  
RELATION TO LABORATORY  
AND OTHER MEDICAL  
SERVICES**

Examiner: Unknown

Art Group: 2171

RECEIVED  
SEP 07 2001  
Technology Center 2100

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231-9999

Dear Sir:

We have received a Filing Receipt (copy attached) for the above-identified U.S. Patent Application. Upon our review, we have noticed that the Domestic Priority data as claimed by applicant is incorrect.

The receipt indicates:

"THIS APPLN CLAIMS BENEFIT OF 60/174,369 01/04/2000  
AND CLAIMS BENEFIT OF 60/140,102 06/18/1999"

The receipt should be corrected to read as follows:

THIS APPLN CLAIMS BENEFIT OF 60/174,369 01/04/2000

RELATED TO 09/591,769 06/12/2000

WHICH CLAIMS BENEFIT OF 60/140,102 06/18/1999

Please correct your records to reflect the above and send us a corrected filing receipt.

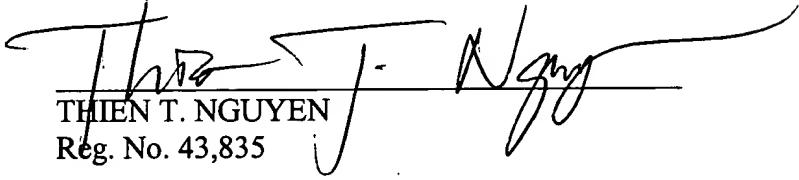
If there are any charges, please charge them to Account No. 02-2666. A duplicate copy of the fee transmittal is enclosed for this purpose.

Applicants' undersigned attorney may be reached in our Costa Mesa office by telephone at (714) 557-3800. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,

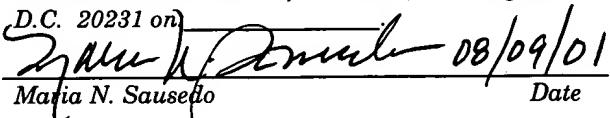
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 08/09/01

  
THIEN T. NGUYEN  
Reg. No. 43,835

CERTIFICATE OF MAILING

*I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on*

  
Maria N. Sauseo 08/09/01  
Date

12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025  
(714) 557-3800



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/754,547	01/03/2001	2171	904	4239P003	15	56	7

08791  
BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR  
LOS ANGELES, CA 90025

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CONFIRMATION NO. 3731

JUL 05 2001

RECORDED FILING RECEIPT

OC0000000006174747

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

Date Mailed: 06/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

ENTERED

JUL 09 2001

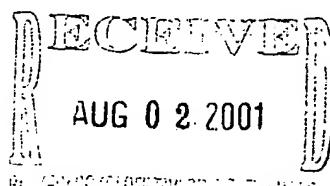
STATUS DB-LA

**Applicant(s)**

Alain T. Rappaport, San Mateo, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/174,369 01/04/2000  
AND CLAIMS BENEFIT OF 60/140,102 06/18/1999



**Foreign Applications**

**If Required, Foreign Filing License Granted 02/15/2001**

**Projected Publication Date: 07/04/2002**

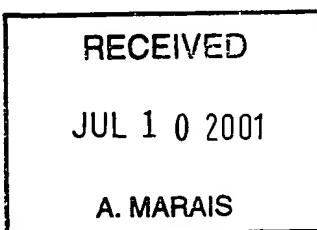
**Non-Publication Request: No**

**Early Publication Request: No**

**\*\* SMALL ENTITY \*\***

**Title**

Method, apparatus and system for providing targeted information in relation to laboratory and other medical services



JUL 10 2001

A. MARAIS

Preliminary Class

707

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Data entry by : WILLIAMS, THERESA

Team : OIPE

Date: 06/12/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



Receipt

PTO/SB/21 (12/97)

Approved for use through 9/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	09/754,547
		Filing Date	January 3, 2001
		First Named Inventor	Alain T. Rappaport
		Group Art Unit	2171
		Examiner Name	Unknown
Total Number of Pages in This Submission	9	Attorney Docket Number	4239P003

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SEP 07 2001

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final <input checked="" type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	<div style="border: 1px solid black; padding: 5px;">Request for Correction of Filing Receipt (2 pgs); Copy of Corrected Filing Receipt (4 pgs).</div>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Thien T. Nguyen, Reg. No. 43,835  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	08/09/01

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

Typed or printed name	Maria N. Sarsedo		
Signature		Date	08/09/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box Approved for use through 09/30/2000. OMB 0651-0032  
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for FY 2000

Patent fees are subject to annual revision.

AUG 13 2001  
PATENT & TRADEMARK OFFICE  
DEMOCRATIC REPUBLIC

AMOUNT OF PAYMENT (\$ 0.00)

## Complete if Known

Application No.	09/754,547
Filing Date	January 3, 2001
First Named Inventor	Alain T. Rappaport
Examiner Name	Unknown
Group/Art Unit	2171
Attorney Docket No.	4239P003

SEP 07 2001

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Technology Center 2100

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number Deposit Account Name  Charge Any Additional Fee(s) Required  
Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. Applicant claims small entity status.  
See 37 CFR 1.27.

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code	Code	(\\$)	(\\$)	(\\$)		
101.	710	201	355	Utility filing fee		
106.	320	206	160	Design filing fee		
107.	490	207	245	Plant filing fee		
108.	710	208	355	Reissue filing fee		
114.	150	214	75	Provisional filing fee		
SUBTOTAL (1)		(\$)				

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
1	1		50	= <input type="text"/>	X 9.00 = <input type="text"/>
			6	= <input type="text"/>	X 40.00 = <input type="text"/>

\*\*or number previously paid, if greater. For Reissues, see below

Large Entity	Small Entity	Fee	Fee	Fee Description
Code	Code	(\\$)	(\\$)	
103.	18	203	9	Claims in excess of 20
102.	80	202	40	Independent claims in excess of 3
104.	130	204	135	Multiple Dependent claim, if not paid
109.	80	209	40	**Reissue independent claims over original patent
110.	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$)		0.00

## 3. ADDITIONAL FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code	Code	(\\$)	(\\$)		
105.	130	205	65	Surcharge - late filing fee or oath	
127.	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139.	130	139	130	Non-English specification	
147.	2,520	147	2,520	For filing a request for reexamination	
112.	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113.	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115.	110	215	55	Extension for response within first month	
116.	390	216	195	Extension for response within second month	
117.	890	217	445	Extension for response within third month	
118.	1,390	218	695	Extension for response within fourth month	
128.	1,890	228	945	Extension for response within fifth month	
119.	310	219	155	Notice of Appeal	
120.	310	220	155	Filing a brief in support of an appeal	
121.	270	221	135	Request for oral hearing	
138.	1,510	138	1,510	Petition to institute a public use proceeding	
140.	110	240	55	Petition to revive - unavoidable	
141.	1,240	241	620	Petition to revive - unintentional	
142.	1,240	242	620	Utility issue fee (or reissue)	
143.	440	243	220	Design issue fee	
144.	600	244	300	Plant issue fee	
122.	130	122	130	Petitions to the Commissioner	
123.	50	123	50	Petitions related to provisional applications	
126.	180	126	180	Submission of Information Disclosure Stmt	
581.	40	581	40	Recording each patent assignment per property (times number of properties)	
146.	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149.	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179.	710	279	355	Request for Continued Examination (RCE)	
169.	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
Other fee (specify)					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Thien T. Nguyen	Registration No. (Attorney/Agent)	43,835	Telephone	(714) 557-3800
Signature				Date	08/09/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

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